



OTGRA SPRING 2022 CONFERENCE SESSIONS

WEDNESDAY, APRIL 27, 2022

GENERAL SESSION – MAGNOLIA 1

- **10:45 am Future of Security in Regulated Gaming Environments**

Kelly Myers, Client Service Rep and Matt Toler, Sr Technical Compliance Engineer, GLI (Gaming Laboratories International)

With threats on the rise, all levels of the organization need to be aware what they are and how to stop them. From iGaming to sports betting and other new technologies, gaming is evolving at a rapid pace and with that comes numerous threats. This session will discuss the future of cybersecurity in regulated gaming environments, emerging technologies and security risks that face the gaming industry. While many operators understand and focus on strengthening their security posture, it is often left to regulators to require the independent validation and verification of implemented security controls. We will focus on what regulators should be examining and requiring as it relates to security compliance. We realize that regulators are stretched thin and may not have the cybersecurity expertise on staff. Beyond requiring static, annual assessments what else can regulators require those organization they oversight of to gain better assurance over security controls and the integrity of gaming. This session will also highlight some real time examples and how prevention is key.

- **1:30 pm Sportsbook**

Heather Nakai and James Lewis, Staff Attorneys, NIGC

This one-hour course will provide a general overview of sportsbooks, including the fundamentals of sports betting and how sportsbooks are classified under the Indian Gaming Regulatory Act. We will discuss several models for Indian tribes to think about when considering adding a sportsbook to their gaming portfolio. The course will conclude with a discussion of problematic sportsbook contract provisions that the Office of General Counsel has encountered when reviewing sportsbook service agreements. Upon completion, attendees will be able to identify various considerations to prevent an inadvertent National Indian Gaming Commission enforcement action.

BREAKOUT SESSIONS:

GENERAL REGULATORY - MAGNOLIA 2&3

- **2:45 pm Strategic Planning for the Gaming Commission**

Andrew Hofstetter, Director of Tribal Industry, Wipfli

Tribal Gaming Commissions serve as the regulatory arm of their tribal government. They are responsible for promulgating rules & regulations, conducting investigations, and ensuring compliance of tribal, federal, and state statutes (Gaming Compact). It is important for tribal gaming commissions to have a plan moving forward to ensure the needs and responsibilities of the tribal gaming commission are planned for. This class will cover the elements of strategic planning for Tribal Gaming Commissions, such as: evaluating & identifying needs/issues/concerns, annual goals, resources, obligations & responsibilities, and will include discussion about the importance of having a 1-5 year plan. We will examine best practices for budget planning and forecasting for CapX projects. The instructor will share stories, scenarios, and examples that will support having a strategic plan for your tribal gaming commission.

- **4:00 pm Understanding Situational Awareness in the Workplace**

Andrew Hofstetter, Director of Tribal Industry, Wipfli

This class will talk about the importance of excellent "Situational Awareness" in the workplace. We will discuss the foundational pillars of this topic and how it relates to the casino, hotel and hospitality industry. We will review information overload, delegating, working together, working with law enforcement, training, knowing your baseline and where that line breaks and having a plan when everything goes from great to critical in a matter of minutes. In this day and age, it is essential that all tribal casinos and hotels have excellent Situational Awareness.

COMMISSION TRACK - MAGNOLIA 4

- **2:45 pm Gaming Commission Roles & Responsibilities**

Marci Ober, Tulsa Regional Director and Anthony Wheeler, OKC Regional Director, NIGC

This course is designed to instruct gaming commissioners (and prospective commissioners) on commission duties and responsibilities including the role of ethics, auditing, internal control standards, file security, background investigation and licensing per IGRA. The course will also focus on the relationships between the commission and the tribal council, casino management, auditing staff, local criminal justice agencies and the NIGC. Tribal Council members and other tribal government officials and casino management are highly encouraged to attend together for the government officials to fully understand the authority placed in the gaming commissioners and to promote successful working relationships between all entities in a tribal gaming operation.

- **4:00 pm The Work and Scope of the OAPGG**

Wiley D Harwell, Executive Director of OAPGG (Oklahoma Association on Problem Gambling & Gaming)

Review the past projects of OAPGG and their current progress, consider new projects with the grassroots participation of the tribes and OAPGG, a better understanding of the dynamics of the Gambling Disorder.

AUDIT & INVESTIGATIONS TRACK - MAGNOLIA 5

- **2:45 pm BINGO – MICS**

Gena Cavaness, Supervisory Auditor and Charlie Parker, Field Auditor, NIGC

Participants will discuss the MICS specific to the manual games of Bingo. Topics will include, but not be limited to: game play, inventory controls, fund accountability, statistical analysis of game performance, equipment standards, payouts (including promotional awards), and auditing requirements. Attendees will gain an enhanced understanding of the inherent risks associated with the games and how the MICS are intended to mitigate those risks.

AUDIT & INVESTIGATIONS TRACK - MAGNOLIA 5 (con't)

- **4:00 pm MICS AUP Procedures**
Joseph Busby, Executive Director of Finance, Sycuan Resort Casino

In this session, we will review the NIGC's required minimum internal controls agreed upon procedures annual testing. There will be checklist lists and workpaper examples and we will discuss common issues related to regulatory requirement interpretation.

COMPLIANCE, TECHNOLOGY & SURVEILLANCE – MAGNOLIA 6

- **2:45 pm Cashless Wagering & Cryptocurrency**
Victor Newsom, SVP FinTech Product Management, Everi

The growth and implications of Cashless Wagering in the US Land based gaming market. We will explore regulatory, operational, capital and patron journey impacts as we transition to a hybrid digital/analogue world.

- **4:00 pm Verifying Gaming Machine & Gaming System Software**
Amber Miller, Software Compliance Agent, Muscogee Nation

A comprehensive overview of the various methods of testing Gaming Machine Software. This will include the functions of said software and the details of each testing method with a constructive comparison between Traditional Software Removal Testing, GAT Testing, Server File Checks and On-Screen Signature Generation.

LICENSING & INVESTIGATION – MAGNOLIA 7

- **2:45 pm Confidence in Times of Uncertainty – How to Get it and How to Keep it**
Lorrie Bamford, VP of Corporate Compliance & General Counsel, Gaming Capital Group

In times of uncertainty, it's easy to lose faith in our own confidence and that can spill over to our co-workers and organizations. Lorrie teaches how we can create confidence in our mindset, actions, and see real results, while also avoiding the biggest killers of confidence.

- **4:00 pm TBIS-Licensing Review Process**
Miranda Blakley, Tulsa Office Compliance Officer and Steven Brewer, Training Manager, NIGC

This course will provide TGRAs and licensing personnel with an overview of a licensing review process, including certain CJIS requirement that may be reviewed. TBIS and CJIS requirements are integrated functions that can change periodically. TGRAs and their staff will not only learn about the licensing review process, but they will also gain insight into CJIS requirements a CO may review.

THURSDAY, APRIL 28, 2022

BREAKOUT SESSIONS:

GENERAL REGULATORY – MAGNOLIA 2&3

- **9:00 am Understanding Human Trafficking and How to Address it**
Andrew Hofstetter, Director of Tribal Industry, Wipfli

This class will talk about the various aspects of Human Trafficking. We will talk about the Do's and Don'ts when it comes to Human Trafficking in your facility, educating front line staff on the red flags of Human Trafficking, and talk about having a plan for the casino and hotel operations on how to address Human Trafficking. Lastly, we will talk about working with law enforcement, and providing training and education to staff.

- **10:30 am Digital Wallet**
Crystal Houston, Asst. Regulatory Compliance Manager, Audit and OTGRA Vice Chair and Ronna Russell, Gaming Compliance Audit Manager, Chickasaw Nation

Digital wallets from the eyes of a regulator and gaming compliance. How we worked together to implement a digital wallet program .. from revising regulations to developing new operational processes.

- **11:45 am Lunch & Learn Round Table**
Jamie Hummingbird, Chairperson, Seminole Nation Gaming Commission

Open discussion concerning current issues facing Tribal gaming.

COMMISSION TRACK – MAGNOLIA 4

- **9:00 am AML/Title 31 Compliance**
Joseph Busby – Executive Director of Finance, Sycuan Resort Casino

In this session we will review FinCEN requirements and expectations for transaction reporting and suspicious activity reporting. In addition, we will cover the use of all available systems and information available to the casino to ensure compliance with regulatory requirements and expectations.

- **10:30 am Risky Business**
Doug Parker, Consulting & Compliance Services Supervisor, Finley & Cook

Risk plays a vital role in the gaming industry. This session will focus on understanding, recognizing, and mitigating risk within an organization. This critical information will aid regulators in monitoring and addressing risk, ensuring the assets of the Tribe and the integrity of gaming are protected.

- **11:45 am Lunch & Learn Round Table**
Ashley Burnside, OTGRA Chairperson, Eastern Shawnee

Open discussion concerning Commission topics

AUDIT & INVESTIGATIONS TRACK – MAGNOLIA 5

- **9:00 am Planning & Completing Internal Audits**
Travis Conklin, Audit Partner, Rose Rock CPAs, PLLC

An overview of the entire process of planning and completing Internal Audits.

- **10:30 am Understanding Financial Statements**
Barnaby Allen, Partner, Wipfli

The presentation will be tailored to help Gaming Commissioners and other users of financial statements better understand the structure, layout of financial statements, and effectively interpret the information contained therein.

AUDIT & INVESTIGATIONS TRACK – MAGNOLIA 5 (con't)

- **11:45 am Lunch & Learn Round Table**

Crystal Houston, Asst. Regulatory Compliance Manager, Audit and OTGRA Vice Chair, Chickasaw Nation

Open discussion concerning Audit & Investigations topics.

COMPLIANCE, TECHNOLOGY & SURVEILLANCE – MAGNOLIA 6

- **9:00 am “How Did We Not See That?”**

Jason Riffle, CO/Co-Founder and Travis Isom, Director of National Accounts, CIP Reporting

The endless benefits of data driven process automation within regulatory operation

- **10:30 am Surveillance Operations**

Joseph Busby, Executive Director, Sycuan Resort Casino

Understand the risk-based approach to surveillance operations in order to implement a maximum oversight impact plan using an efficiency and effectiveness strategy. We will discuss the various roles and responsibilities of the surveillance department and the various organizational structures available, depending on the Tribal Gaming Commission's and the casino's financial and labor resources.

- **11:45 am Lunch & Learn Round Table**

Terry Hale, Compliance Manager, Seminole Nation Gaming Agency

Open discussion concerning Compliance, Technology & Surveillance topics.

LICENSING & INVESTIGATION – MAGNOLIA 7

- **9:00 am 50 Shades of Gray**

Debbie Chase-Mosley, COO, Merydyan

An interactive session with role playing. Not everything in regulation is black and white. Learn how to think more openly about various aspects/situations you may find yourself a part of as a gaming regulator that will require you to communicate with your internal team, tribal council, licensees and vendors.

- **10:30 am NIGC Criminal Justice Information Services Audit Unit (CAU) Introduction and Compliance Update**

Amber McDonald, Auditor and Steven Steiner, Deputy Systems Officer, NIGC

Join the NIGC CAU staff for a discussion on timely compliance matters. The newly formed CAU is responsible for the implementation of the NIGC's external and internal compliance strategies to achieve and demonstrate compliance with the Memorandum of Understanding (MOU) between the Federal Bureau of Investigation and NIGC concerning noncriminal Justice Fingerprint Submissions.

- **11:45 am Lunch & Learn Round Table**

Rene Smith, Office/Licensing Manager, Citizen Potawatomi Nation Gaming Commission

Open discussion concerning Licensing & Investigations

GENERAL SESSION – MAGNOLIA 1

- **1:00 pm Shocking SCOTUS Arguments: Ysleta del Sur Pueblo v. Texas, Indian Law Canons, IGRA and Tribal Sovereignty**

Mike McBride III, Chair, Indian Law & Gaming/Shareholder, Crowe & Dunlevy

The Supreme Court recently heard oral argument in *Ysleta Del Sur Pueblo v. Texas*, a case about the restrictions federal law places on two Texas tribes' ability to operate gaming enterprises. When Congress recognized the two tribes, it "prohibited" gaming on their reservations to the same extent Texas law "prohibits" gaming. Texas argues this statute is straightforward—if a form of gaming is illegal off the reservation, it is illegal on the reservation.

- **2:15 pm What's Happening in Oklahoma**

Jamie Hummingbird, Chairperson, Seminole Nation Gaming Commission and Matt Morgan, Executive Officer/General Counsel,

Governmental Affairs and Partnerships, Chairman of OIGA

Open discussion to cover current happenings and issues(s) in Oklahoma. Topics will include Sports Betting Amendment to the Compact.

- **3:15 pm Closing Comments, Door Prizes & Drawings**

THANK YOU! ...

*... to our Attendees for their participation
... to our Speakers for sharing their knowledge
... to our Sponsors for their generosity
... and to The Choctaw Nation for hosting*

